

**PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q75080

Hiroshi OSAWA

Appln. No.: 10/550,273

Group Art Unit: 2652

Confirmation No.: 1238

Examiner: Not Yet Known

Filed: September 23, 2005

For: MAGNETIC RECORDING MEDIUM, PRODUCTION PROCESS THEREFOR, AND  
MAGNETIC RECORDING AND REPRODUCING APPARATUS

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

**ATTN:** Office of Initial Patent Examination

Filing Receipt Correction

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

We enclose a copy of the Official Filing Receipt for the above-identified application and  
request the following corrections:

**Assignment for Published Patent Application:**

Showa Denko K.K.

**Domestic Priority data as claimed by applicant:**

This application is a 371 of PCT/JP04/04959 04/06/2004 which claims benefit of  
60/461,802 04/11/2003

**Foreign Applications:**

JAPAN 2003-103367 04/07/2003


REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

U.S. Application No. 10/550,273

Page 2

Verification for the requested corrections is indicated on the executed Assignment with copy of PTO Form 1595 and a copy of the declaration along with a date-stamped filing receipt dated September 23, 2005.

Respectfully submitted,



Sheldon I. Landsman  
Registration No. 25,430

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: August 25, 2006



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/550,273	09/23/2005	2652	1100	Q75080	4	14	4

CONFIRMATION NO. 1238

23373  
 SUGHRUE MION, PLLC  
 2100 PENNSYLVANIA AVENUE, N.W.  
 SUITE 800  
 WASHINGTON, DC 20037

## FILING RECEIPT



\*OC000000019123196\*

Date Mailed: 07/19/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Hiroshi Osawa, Ichihara-shi, JAPAN;

*Assignment for Published Patent Application Shawa Denko K.K.*

Power of Attorney: The patent practitioners associated with Customer Number 23373.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/04959 04/06/2004

*which claims benefit of 60/461,802 04/11/2003*

Foreign Applications JAPAN 2003-103367 04/07/2003

If Required, Foreign Filing License Granted: 06/05/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/550,273**

Projected Publication Date: 09/14/2006

Non-Publication Request: No

Early Publication Request: No

## Title

Magnetic recording medium, production process therefor, and magnetic recording and reproducing apparatus

40401703  
0-P-18804

For Non-U.S. Clients

3/1

# ASSIGNMENT

Whereas, I/we, Hiroshi OSAWA of

Address of all inventors: c/o Showa Denko HD K.K., 5-1, Yawata Kaigan dori, Ichihara-shi, Chiba-ken, Japan

hereinafter called assignor(s), have invented certain improvements in

MAGNETIC RECORDING MEDIUM, PRODUCTION PROCESS THEREFOR, AND  
MAGNETIC RECORDING AND REPRODUCING APPARATUS

and executed an application for Letters Patent of the United States of America therefor on

September 1, 2005 ~~XXXX~~ 2001, and

Whereas, SHOWA DENKO K.K., of 13-9, Shibadaimon 1-chome, Minato-ku, Tokyo, Japan  
(assignee), desires to acquire the entire right, title and interest in the application and invention, and to any United  
States and foreign patents to be obtained therefor;

Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,

I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its  
successors and assigns, the entire right, title and interest in the application and the invention disclosed therein for  
the United States of America and all countries foreign thereto, including the rights of priority under the  
International Convention of Paris (1883) as amended, and I/we request the Director - U.S. Patent and Trademark  
Office to issue any Letters Patent granted upon the invention set forth in the application to the assignee, its  
successors and assigns; and I/we hereby agree that the assignee may apply for foreign Letters Patent on the  
invention and I/we will execute without further consideration all papers deemed necessary by the assignee in  
connection with the United States and foreign applications when called upon to do so by the assignee.

I/We hereby authorize and request my/our attorneys SUGHRUE MION, PLLC of 2100 Pennsylvania  
Avenue, N.W., Washington, D.C. 20037-3213 to insert here in parentheses (Application  
number \_\_\_\_\_, filed \_\_\_\_\_) the filing date and application number of said  
application when known.

Date: September 1, 2005

s/ Hiroshi Osawa Hiroshi OSAWA

Date:

s/ \_\_\_\_\_

Date:

s/ \_\_\_\_\_

Date:

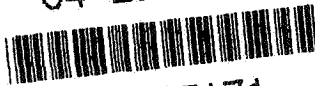
s/ \_\_\_\_\_

Date:

s/ \_\_\_\_\_

04-27-2006

JC05 Rec'd JT/PTO 23 SEP 2005

MODIFIED Form PTO-1595  
(Rev. 10/02)

COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Y

10/550273

103227171

Trademark Office: Please record the attached original documents or copy thereof.

To the Director of the U.S. Patent and Trademark Office

## 1. Name of conveying party(ies):

Hiroshi OSAWA

## 2. Name and address of receiving party(ies):

SHOWA DENKO K.K.  
13-9, Shibadaimon 1-chome, Minato-ku,  
Tokyo, JapanAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other: \_\_\_\_\_

Execution Date: September 1, 2005

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: September 1, 2005

A. Patent Application No.(s) 10/550273  
Not Yet AssignedB. Patent No.(s)  
UnknownAdditional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

SUGHRUE MION, PLLC

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed.  
☐ Authorized to be charged to Deposit Account No. 19-4880.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

## 8. Deposit Account Number:

19-4880

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Sheldon I. Landsman

September 23, 2005

Sheldon I. Landsman

Reg. No. 25,430

Date

Total number of pages including cover sheet, attachments, and documents: 2

Mail documents to be recorded with required cover sheet information to:

MAIL STOP ASSIGNMENT RECORDATION SERVICES

Director of the U.S. Patent and Trademark Office

P.O. Box 1450

Alexandria, VA 22313-1450

# DECLARATION AND POWER OF ATTORNEY FOR UTILITY OR DESIGN PATENT APPLICATION (37 C.F.R. 1.63)

## 実用・意匠特許出願宣言書および委任状 (37 C.F.R. 1.63)

### Japanese Language Declaration

私は以下の通り宣言します：

各発明者の住所、郵送先、および国籍は下記氏名の後に記載された通りです。

下記名称の発明に関し請求範囲に記載され特許出願がされている発明内容につき、下記に記載された発明者が本来かつ最初の発明者であると信じます。

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☐ 上記発明の明細書は本書に添付されます。

または

☐ 上記発明は米国出願番号あるいは PCT 国際出願番号

\_\_\_\_\_ (確認番号 \_\_\_\_\_) として \_\_\_\_\_  
年\_\_月\_\_日に出願され、  
\_\_\_\_\_年\_\_月\_\_日に補正されました (該当する場合)。

私は補正が上に明示された場合は補正された特許請求範囲を含む前記明細書の内容を検討し、理解していることをここに表明します。

私は一部継続出願の場合先行出願の出願日から一部継続出願の国内あるいは PCT 国際出願日までの期間中に入手された重要な情報を含み、37 C.F.R. 1.56 に定義される特許性に肝要な情報について開示義務があることを認めます。

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

MAGNETIC RECORDING MEDIUM, PRODUCTION

PROCESS THEREFOR, AND MAGNETIC RECORDING

AND REPRODUCING APPARATUS

☐ the specification of which is attached hereto

OR

☒ was filed on April 6, 2004  
as United States Application Number or PCT  
International Application Number PCT/JP2004/004959  
(Confirmation No. \_\_\_\_\_),  
and was amended on \_\_\_\_\_

\_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56, including for continuation-in-part application(s), material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

### Japanese Language Declaration

私は 35 U.S.C. 119(a)-(d) あるいは (f), または 365(b) に基づき特許、発明者、あるいは植物育種家証書の下記外国出願、または 365(a) に基づきアメリカ合衆国以外の少なくとも 1 ヶ国を指定した下記 PCT 国際出願についての外国優先権特典をここに主張するとともに、下記項目に x 印を付けることにより優先権を主張する出願以前の出願日を有する特許、発明者、あるいは植物育種家証書の外国出願または PCT 国際出願を示します。

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application(s) which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application(s) having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)  
先行外国出願番号

Priority Claimed?  
優先権の主張?

2003-103367 Japan  
(Application Number) (Country)  
(出願番号) (国名)

April 7, 2003  
(Filing Date)  
(出願日)

Yes No  
有り無し  
☒ ☐

(Application Number) (Country)  
(出願番号) (国名)

(Filing Date)  
(出願日)

☐ ☐

私は 35 U.S.C. 119(e) に基づき下記の米国仮特許出願の国内優先権をここに主張します。

I hereby claim domestic priority under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

60/461,802 April 11, 2003  
(Application Number) (Filing Date)  
(出願番号) (出願日)

(Application Number) (Filing Date)  
(出願番号) (出願日)

私は 35 U.S.C. 120 に基づき下記米国特許出願、あるいは 365(c) に基づき米国を指定する下記 PCT 国際出願の利益をここに主張し、本特許出願内特許請求範囲の各項目の内容が 35 U.S.C. 112 の最初の項に規定される方法により先行米国あるいは PCT 国際特許出願で開示されていない限りにおいて 37 C.F.R. 1.56 に定義される本出願の特許性に肝要で、先行特許出願の出願日から本特許出願の国内あるいは PCT 国際出願日までの期間中に入手された情報について開示義務があることを認めます。

I hereby claim benefit under 35 U.S.C. 120 of any United States application(s) or 365(c) of any PCT international application(s) designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in a listed prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge my duty to disclose any information material to the patentability of this application as defined in 37 C.F.R. 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S. or International Application Number(s)  
先行米国あるいは国際出願番号

(Application Number) (Filing Date)  
(出願番号) (出願日)

(Application Number) (Filing Date)  
(出願番号) (出願日)

私は本宣言書内で私自身の知識に基づいてなされたすべての陳述が真実であり、情報および信ずるところに基づいてなされたすべての陳述が真実であると信じられていることをここに宣言し、さらに故意になされた虚偽の陳述等々は 18 U.S.C. 1001 に基づき罰金あるいは拘禁または両方による処罰にあたり、またかような故意による虚偽の陳述はそれに基づく特許出願あるいは成立特許の有効性を危うくする可能性があることを認識した上でこれらの陳述をなしたことを宣言します。

(Status: patented, pending, abandoned)  
(状態: 特許成立済、係属中、放棄済)

(Status: patented, pending, abandoned)  
(状態: 特許成立済、係属中、放棄済)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

40T01107  
OCP-18024  
宿/法 7/2

### Japanese Language Declaration

委任状：私は下記の米国特許商標局（USPTO）顧客番号のもとに記載される SUGHRUE MION 法律事務所のすべての弁護士を、同顧客番号のもとに記載される個々の弁護士は Sughrue Mion 法律事務所のための自由裁量に基づき変更され得ることを認識した上で、本特許出願の手続きおよびそれに関わる米国特許商標局との業務を遂行する弁護士として指名し、本特許出願に関するすべての通信が同 USPTO 顧客番号のもとに提出された住所宛に送付されることを要請します。

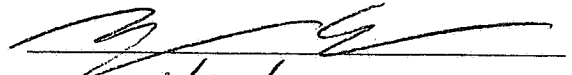
POWER OF ATTORNEY: I hereby appoint all attorneys of SUGHRUE MION, PLLC who are listed under the USPTO Customer Number shown below as my attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, recognizing that the specific attorneys listed under that Customer Number may be changed from time to time at the sole discretion of Sughrue Mion, PLLC, and request that all correspondence about the application be addressed to the address filed under the same USPTO Customer Number.

### STATEMENT OF ACCURATE TRANSLATION IN ACCORDANCE WITH 37 C.F.R. §1.69(b):

The declaration and power of attorney is an accurate translation of the corresponding English language declaration and power of attorney.

Signature

Date

  
1/15/04

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

電話連絡は下記へ：

SUGHRUE MION, PLLC  
(202) 293-7060

Direct Telephone Calls to:

SUGHRUE MION, PLLC  
(202) 293-7060

#### NAME OF SOLE OR FIRST INVENTOR:

唯一あるいは第一の発明者名

Given Name (first and middle [if any])

名 (名およびミドルネーム[該当する場合]) Hiroshi

Family Name or Surname

姓

OSAWA

Inventor's signature

発明者の署名

Hiroshi Osawa

Date

日付

September 1, 2005

Residence:

住所:

Ichihara-shi, Japan

Citizenship

国籍

Japan

Mailing Address:

郵送先:

c/o Showa Denko HD K.K., 5-1, Yawata Kaigan dori, Ichihara-shi, Chiba-ken, Japan

#### NAME OF SECOND INVENTOR:

第二の発明者名:

Given Name (first and middle [if any])

名 (名およびミドルネーム[該当する場合])

Family Name or Surname

姓

Inventor's signature

発明者の署名

Date

日付

Residence:

住所:

Citizenship

国籍

Mailing Address:

郵送先:



46  
FOR EARLY NOTIFICATION OF SERIAL NUMBER  
OF PCT/JP2004/004959 FILED April 6, 2004

inventor/ shi OSAWA

Title: MAGNETIC RECORDING MEDIUM, PRODUCTION PROCESS  
THEREFOR, AND MAGNETIC RECORDING AND REPRODUCING  
APPARATUS

Atty Doc. #: Q75080 Client: SHIGA INTERNATIONAL PATENT  
OFFICE

Filing Date: September 23, 2005 # Pgs. Spec/Abst: 31/1 #Claims: 14

# Dwg. Sheets: 4 Decl Yes (3 pages) Prelim Amdt Yes

PCT/ISA/210 with Written Opinion

IDS/Prior Art: Yes Pr Doc: No Asgmt: Yes Fee: \$1100/40

PCT/IB/304

☒ Check Attached ☐ Charge to Deposit # 19-4880 Atty/Sec: SIL/sdc

PTO SB/08 A&B and PTO FORM 1595



SERIAL NO.:

CONF NO.: